



FORM PTO-1449	SERIAL NO. 10/024,896	CASE NO. 6270-72
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE December 21, 2001	GROUP ART UNIT 2125
(use several sheets if necessary)		APPLICANT(S): Andrew Blackett et al.

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
<i>pm</i>	B1	4,589,075	05/13/86	Buennagel	—	
<i>pm</i>	B2	4,641,248	02/03/87	Suzuki et al.	—	
<i>pm</i>	B3	5,448,229	09/05/95	Lee, Jr.	340/870.02	
<i>pm</i>	B4	5,459,459	10/17/95	Lee, Jr.	340/870.02	
<i>pm</i>	B5	5,477,216	12/19/95	Lee, Jr. et al.	340/870.02	
<i>pm</i>	B6	5,495,239	02/27/96	Ouellette	340/870.02	
<i>pm</i>	B7	5,517,423	05/14/96	Pomatto	700/286	
<i>pm</i>	B8	5,572,438	11/05/96	Ehlers et al.	700/295	
<i>pm</i>	B9	5,576,700	11/19/96	Davis et al.	—	
<i>pm</i>	B10	5,680,324	10/21/97	Schweitzer, III et al.	—	
<i>pm</i>	B11	5,699,276	12/16/97	Roos	—	
<i>pm</i>	B12	5,736,847	04/07/98	Van Doorn et al.	—	
<i>pm</i>	B13	5,764,155	06/09/98	Kertesz et al.	700/295	
<i>pm</i>	B14	5,862,391	01/19/99	Salas et al.	—	
<i>pm</i>	B15	5,897,607	04/27/99	Jenney et al.	702/62	
<i>pm</i>	B16	6,006,759	12/21/99	Hart et al.	—	
<i>pm</i>	B17	6,035,285	03/07/00	Schlect et al.	—	
<i>pm</i>	B18	6,088,659	07/11/00	Kelley et al.	702/62	
<i>pm</i>	B19	6,118,269	09/12/00	Davis	—	
<i>pm</i>	B20	6,167,389	12/26/00	Davis et al.	—	
<i>pm</i>	B21	6,169,979	01/02/01	Johnson	—	
<i>pm</i>	B22	6,178,362 B1	01/23/01	Woolard et al.	—	
<i>pm</i>	B23	6,259,972 B1	07/10/01	Sumic et al.	700/286	
<i>pm</i>	B24	6,313,752 B1	11/06/01	Corrigan et al.	—	
<i>pm</i>	B25	6,327,541 B1	12/04/01	Pitchford et al.	702/62	
<i>pm</i>	B26	6,535,797 B1	03/18/03	Bowles et al.	700/286	
<i>pm</i>	B27	6,549,880 B1	04/15/03	Willoughby et al.	—	
<i>pm</i>	B28	6,553,418 B1	04/22/03	Collins et al.	709/224	
<i>pm</i>	B29	2001/0010032	07/26/01	Ehlers et al.	—	
<i>pm</i>	B30	2001/0039537 A1	11/08/01	Carpenter et al.	705/400	
<i>pm</i>	B31	2002/0077729	06/20/02	Anderson	—	
<i>pm</i>	B32	2002/0091784	07/11/02	Baker et al.	—	
<i>pm</i>	B33	2002/0116550 A1	08/22/02	Hansen	—	
<i>pm</i>	B34	2002/0161536	10/31/02	Suh et al.	702/62	
<i>pm</i>	B35	2003/0176952 A1	09/18/03	Collins et al.	700/286	

* submitted on Previous IDS of 3/19/02 * CITED BY EXAMINER

EXAMINER <i>Paul Pook</i>	DATE CONSIDERED 4/1/04
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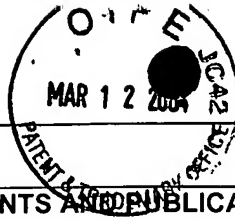
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FORM PTO-1449	SERIAL NO. 10/024,896	CASE NO. 6270-72
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(use several sheets if necessary)		APPLICANT(S): Andrew Blackett et al.

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.	
	B36	David W. Giles, "Direct-Access Metering Via the Web", 1998 CEA Conference, Toronto, Canada, pp. 1-19, © 1998 Power Measurement Ltd.
	B37	GE Industrial Systems "EnerVista.com" brochure, 09/2000.
	B38	Press release, Santa Clara, CA 09/25/2000 "Connect One Reduces Time, Cost and Complexity to Connect Internet Appliances to Ethernet Lans", obtained at internet address http://www.connectone.com/html/prlsep25_2000.htm .
	B39	Year 2000 Rediness Disclosure Arcom Control Systems, "Apex", obtained at internet address http://www.arcomcontrols.com/products/pcp/pcp10.htm .
	B40	Dranetz BMI, Signature System™ "Information, Knowledge, Power" brochure.
	B41	Signature System™ Basics, obtained at internet address http://www.signaturesystem.com/sigbasics.html , 3/26/01. pp. 1-2.
	B42	Signature System™ InfoNodes, obtained at internet address http://www.signaturesystem.com/infonode.html , 3/26/01. pp. 1-2.
	B43	Questa A2B.Platform™ brochure, "Enabling conversation through universal connectivity", pp. 1-4, located at http://www.questra.com/h1_products/Questa_A2Bplatform .
	B44	Questa A2B.Sales™ brochure, "Conversation to help you win", pp. 1-2, located at http://www.questra.com/h1_products/Questa_A2BSales .
	B45	Power Point Presentation: Presented at "Metering Europe 2000," September 5-7, 2000, source http://www.energyict.com/fh/media/Presentation_Metering2000Munchen5.zip , pp. 1-37.
ml	B46	Brochure, RTU+Server, source http://www.energyict.com/fh/media/tru_plus_server.pdf , pp. 1-3, 4/6/01.
ml	B47	Brochure, EiServer, The Energy Information server, source http://www.energyict.com/fh/media/EiServer.pdf , pp. 1-7, 7/14/00.
ml	B48	Advertisement, EiServer and RTU+Server, source, Metering International - 2001 Issue 1, page 19, publish date 1 st Quarter 2001, p. 1.
ml	B49	Article, "Providing Tomorrow's Energy Management and Metering Tools Today," source, Metering International - 2001 Issue 1, page 18, publish date 1 st Quarter 2001, p. 1.
ml	B50	"Email relating to the general technology of the application received from a competitor", from Erich W. Gunther [mailto:erich@electrotek.com] to Brad Forth@pml.com sent 5/9/01 3:36pm, pp. 1-2.
	B51	Electro Industries Press Release "Nexus 1250 High-Performance Power Monitor Gains Ethernet TCP/IP Capabilities," obtained at internet address http://www.electroindustries.com/feb5.html , Feb. 5, 2001 pp. 1-2.
	B52	Electro Industries "Nexus 1250 for Industry and Utilities," brochure, obtained at internet address http://www.electroindustries.com/pdf/nxsbrochure.pdf pp. 1-14 printed 06/01/01.
	B53	NetSilicon Solutions on Chip "NET+ARM™ Ethernet Processors" brochure, obtained at internet address http://www.netsilicon.com/EmbWeb/products/netarm.asp , pp. 1-2, Copyright© 2001 NetSilicon, Inc.
	B54	EmWare® "Solutions Device Link," brochure, obtained at internet address http://www.emware.com/solutions/devicelink/ , pp. 1-3, printed 06/01/01.
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	B55 IReady® "Technology iReady's Hardwired TCP/IP Stack," homepage, obtained at Internet address http://www.iready.com/, p. 1, ©2000-2001 iReady corporation, printed 06/01/01.
<i>pm</i>	B56 muNet News & Events Press Releases "muNet makes cable industry debut with its WebGate™ Internet Residential and Commercial Information Systems, and HomeHeartBeat", http://www.munet.com , pp. 1-2, 12/15/99.
<i>pm</i>	B57 muNet News & Events Press Releases "muNet's WebGate™ Systems Finds a Home on the Internet!" http://www.munet.com , pp. 1-2, 03/18/99.
	B58 webGate™ IRIS Technology, products brochure pp. 1-9.
<i>pm</i>	B59 MuNet News & Events Press Release "muNet Demonstrates End-to-Enc IP-Based Energy Management System at DistribuTECH," Feb. 5, 2000, pp 1-2.
	B60 WebGate™ IRIS™ "Internet Residential Information System," p. 1 of 1
	B61 WebGate™ ICIS™ "Internet Commercial Information System," p. 1 of 1
	B62 F. Momal, C. Pinto-Pereira, "Using World-Wide-Web for Control Systems" Abstract, AT Division CERN, 1211 Geneva 23, 6 pages.
	B63 P. M. Corcoran, J. Desbonnet and K. Lusted "THPM 14.2 "CEBus Network Access via the World-Wide-Web" Abstract, ©1996 IEEE, pp. 236 & 237.
	B64 ATI Systems, "Technical Overview Ethernet SCAN II™ Module" specification, October 1994, 2 pages.
	B65 J. Hofman, "The Consumer Electronic Bus: An Integrated Multi-Media LAN for the Home", International Journal of Digital and Analog Communication Systems, Vol. 4, 77-86 (1991), ©1991 by John Wiley & Sons, Ltd.
	B66 Lucent Technologies "AT&T Forms Expert Team to Design Utility Industry Solutions, press release, Monday, January 23, 1995, pp. 1&2.
	B67 Newsbytes Inc., Tampa, FLA, April 13, 1995 pNEW04130013 "TECO & IBM – The "Smart House" Is Here" Press release, obtained at http://filebox.vt.edu/users/mikemike/smart-house/infotrac/article4.txt , 7/11/02, pp. 1&2
<i>pm</i>	B68 Qestra Applications Summary Data Sheet, pp. 1-2, 07/02/02.
<i>pm</i>	B69 Tridium™ Vykon™ Energy "JACE-401™" Product Data Sheet, pp. 1-2, 09/16/02.
<i>pm</i>	B70 Tridium™ Vykon™ Energy "Vykon Energy Profiler" Product Data Sheet, pp. 1-4, 10/16/01.
	B71 Schneider Electric Square D "Web-Enabled Power Management Solutions" brochure, pp. 1-8, January 2002
	B72 Tridium™ Vykon™ Building "Vykon™ Alarm Service" Product Data Sheet, pp. 1-2, 06/20/02.
	B73 Tridium™ Vykon™ Building "JACE-512™" Product Data Sheet, pp. 1-2, 05/15/02.
	B74 Tridium™ Vykon™ Building "JACE-511™" Product Data Sheet, pp. 1-2, 05/28/02.
	B75 Vykon™ By Tridium "JACE-NP-10" Product Data Sheet, pp. 1-2, 05/28/02.
	B76 Vykon™ By Tridium "WorkPlace Pro™" Product Data Sheet, pp. 1-2, 01/12/01.
	B77 Vykon™ Building "Web Supervisor™" Product Data Sheet, pp. 1-2, 11/30/01.
<i>pm</i>	B78 A8800-1 AcquiSuite Data Acquisition System specification, pp. 1-2, 09/24/01.
	B79 LiveData® Real Time Data Management For Energy and Utility Companies brochure, pp. 1-4, ©copyright 2002, Rev. 01/2002.

*** REFERENCE LISTED ON IDS SEE B115 B117

EXAMINER <i>Rae Rodis</i>	DATE CONSIDERED 4/1/04
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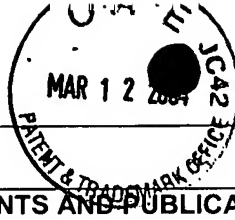
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EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.	
	B80	Tridium™ Vykon™ Energy "With the Right Tools Energy Costs are Controllable..." brochure, pp. 1-6, 44/01/01.
	B81	EnergyView™ "Energy Aggregation & Information System (EAS) for Monitoring and Analysis of Electric and Gas Demand", selected pages from an EnergyView website http://66.64.38.69/energyview , pp. 1-4, 10/30/01.
<i>om</i>	B82	Power Monitoring home page, obtained from http://www.parijat.com/Power_Monitoring.htm , 10/09/02, one page,
	B83	Press Release 24 Aug. 2000 "Connect One Announces Industry's First Wireless Chip to Connect Mobile Devices to the Internet", pp. 1-2.
<i>om</i>	B84	Press Release, "Connect One and NAMS Create the World's First Dial-up Energy Meter that Sends and Receives E-Mail Without a Gateway", September 5, 2000, pp. 1-2.
<i>om</i>	B85	NAMS Metals by Nisko, NMM-AKB Specifications page 1 of 1, September 5, 2000.
<i>om</i>	B86	Connect One "Automatic Meter Reading via the Internet", pp. 1-2. July 11, 2001
	B87	Modem™ "The Fastest Way to Internet Enable any Device", pp. 1-3. July 11, 2001
<i>om</i>	B88	ConnectOne™ Connecting your Device to the Internet™ iChip™ The Internet in your palm™, pp. 1-6. July 11, 2001.
	B89	Engage Networks Launches Energy Management Venture, obtained at internet address http://www.engagenet.com/content/business_journal.shtml , 09/20/00. Appeared in Business Journal Feb 11, 2000.
	B90	Engage Networks Inc. "D-Gen" Distributed Generation Management brochure, pp. 1-4. Link present as of Dec 2, 1998 according to web archive at http://web.archive.org/web/19981205200934/www.engagenet.com/products.htm
<i>om</i>	B91	Engage Networks Inc. "Internet Protocol Card for Revenue Meters" brochure, pp. 1-2. Link present as of Mar 3, 2000 on http://web.archive.org/web/20010306005433/www.engagenet.com/content/products.shtml . Original document archived at http://web.archive.org/web/20030520161648/http://www.engagenet.com/datasheets/ipcard.pdf on May 20, 2003.
<i>om</i>	B92	Engage Networks Inc. "AEM" (Active Energy Management) brochure, pp. 1-2. Link present as of Mar 3, 2000 on http://web.archive.org/web/20010306005433/www.engagenet.com/content/products.shtml . Original document archived at http://web.archive.org/web/20030520161850/http://www.engagenet.com/datasheets/aem.pdf
	B93	David W. Giles, "Direct-Access Metering Via the Web", 1998 CEA Conference, Toronto, Canada, pp. 1-19, © 1998 Power Measurement Ltd. Conference April 27-29/1998. Presented April 29/1998
	B94	GE Industrial Systems "EnerVista.com" brochure, 09/2000.
	B95	Press release, Santa Clara, CA 09/25/2000 "Connect One Reduces Time, Cost and Complexity to Connect Internet Appliances to Ethernet Lans", obtained at internet address http://www.connectone.com/html/prisep25_2000.htm .

*** Document listed on this IDS see B36-B38

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	B96 Year 2000 Rediness Disclosure Arcom Control Systems, "Apex", obtained at internet address http://www.arcomcontrols.com/products/pcp/pcp10.htm . Document archived at http://web.archive.org/web/20000530063150/www.arcomcontrols.com/products/pcp/pcp10.htm on May 30, 2000.
	B97 Dranetz BMI, Signature System™ "Information, Knowledge, Power" brochure. Applicants believe this reference was published prior to January 9, 2003.
	B98 Signature System™ Basics, obtained at internet address http://www.signaturesystem.com/sigbasics.html , 3/26/01. pp. 1-2.
	B99 Signature System™ InfoNodes, obtained at internet address http://www.signaturesystem.com/infonode.html , 3/26/01. pp. 1-2.
	B100 Qestra A2B.Platform™ brochure, "Enabling conversation through universal connectivity", pp. 1-4, located at http://www.questra.com/h1_products/Qestra_A2Bplatform . Applicants believe this reference was published prior to January 9, 2003.
	B101 Qestra A2B.Sales™ brochure, "Conversation to help you win", pp. 1-2, located at http://www.questra.com/h1_products/Qestra_A2Bsales . Applicants believe this reference was published prior to January 9, 2003.
	B102 Power Point Presentation: Presented at "Metering Europe 2000," September 5-7, 2000, source http://www.energyict.com/fh/media/Presentation_Metering2000Munchen5.zip , pp. 1-37.
	B103 Brochure, RTU+Server, source http://www.energyict.com/fh/media/tru_plus_server.pdf , pp. 1-3, 4/6/01. Document archived at http://web.archive.org/web/20020702060538/http://www.energyict.com/fh/media/tru_plus_server.pdf Feb 7/2001. Link present on http://web.archive.org/web/20010207201520/www.energyict.com/fa/en_ab_frame.htm on or before Feb 7/2001.
	B104 Brochure, EiServer, The Energy Information server, source http://www.energyict.com/fh/media/EiServer.pdf , pp. 1-7, 7/14/00. Link present on or before Feb 7, 2001.
	B105 Advertisement, EiServer and RTU+Server, source, Metering International - 2001 Issue 1, page 19, publish date 1 st Quarter 2001, p. 1.
	B106 Article, "Providing Tomorrow's Energy Management and Metering Tools Today," source, Metering International - 2001 Issue 1, page 18, publish date 1 st Quarter 2001, p. 1.
	B107 "Email relating to the general technology of the application received from a competitor", from Erich W. Gunther [mailto:erich@electrotek.com] to Brad Forth@pml.com sent 5/9/01 3:36pm, pp. 1-2.
	B108 Electro Industries Press Release "Nexus 1250 High-Performance Power Monitor Gains Ethernet TCP/IP Capabilities," obtained at internet address http://www.electroindustries.com/feb5.html , Feb. 5, 2001 pp. 1-2.
	B109 Electro Industries "Nexus 1250 for Industry and Utilities," brochure, obtained at internet address http://www.electroindustries.com/pdf/nxsbrochure.pdf pp. 1-14 printed 06/01/01.

*** REFERENCES LISTED ON THIS IDS see B39-B51

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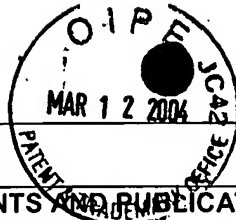
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	B110	NetSilicon Solutions on Chip "NET+ARM™ Ethernet Processors" brochure, obtained at internet address http://www.netsilicon.com/EmbWeb/products/netarm.asp , pp. 1-2, Copyright© 2001 NetSilicon, Inc. Document archived http://web.archive.org/web/20010719171514/www.netsilicon.com/embweb/products/netarm.a sp on July 19/2001 on July 19, 2001
	B111	EmWare® "Solutions Device Link," brochure, obtained at internet address http://www.emware.com/solutions/devicelink/ , pp. 1-3, printed 06/01/01. Document archived http://web.archive.org/web/20010524222001/www.emware.com/solutions/devicelink/ May 24, 2001.
	B112	iReady® "Technology iReady's Hardwired TCP/IP Stack," homepage, obtained at internet address http://www.iready.com/ , p. 1, ©2000-2001 iReady corporation, printed 06/01/01. First publishes as early as March 1, 2001. http://web.archive.org/web/20010515202409/http://www.iready.com/ link on right side to "technology/index.html" captured March 15, 2001.
	B113	muNet News & Events Press Releases "muNet makes cable industry debut with its WebGate™ Internet Residential and Commercial Information Systems, and HomeHeartBeat", http://www.munet.com , pp. 1-2, 12/15/99.
	B114	muNet News & Events Press Releases "muNet's WebGate™ Systems Finds a Home on the Internet!" http://www.munet.com , pp. 1-2, 03/18/99.
OR	B115	webGate™ IRIS Technology, products brochure pp. 1-9. Applicants believe this reference was published prior to January 9, 2003.
	B116	MuNet News & Events Press Release "muNet Demonstrates End-to-End IP-Based Energy Management System at DistribuTECH," Feb. 5, 2000, pp 1-2.
PR	B117	WebGate™ IRIS™ "Internet Residential Information System," p. 1 of 1 Published in Energy IT November/December 2000 Technology Info Center. See http://www.platts.com/infotech/issues/0011/eittic0011.shtml for this reference - search for munet.com.
	B118	WebGate™ ICIS™ "Internet Commercial Information System," p. 1 of 1 Published in Energy IT November/December 2000 Technology Info Center. See http://www.platts.com/infotech/issues/0011/eittic0011.shtml for this reference - search for munet.com.
	B119	F. Morral, C. Pinto-Pereira, "Using World-Wide-Web for Control Systems" Abstract, AT Division CERN, 1211 Geneva 23, 6 pages. Published 1995.
	B120	P. M. Corcoran, J. Desbonnet and K. Lusted "THPM 14.2 "CEBus Network Access via the World-Wide-Web" Abstract, ©1996 IEEE, pp. 236 & 237.
	B121	ATI Systems, "Technical Overview Ethernet SCAN II™ Module" specification, October 1994, 2 pages.
	B122	J. Hofman, "The Consumer Electronic Bus: An Integrated Multi-Media LAN for the Home", International Journal of Digital and Analog Communication Systems, Vol. 4, 77-86 (1991), ©1991 by John Wiley & Sons, Ltd.

*** REFERENCES LISTED ON THIS IDS SEE B53-B57, B59, B61 - B67

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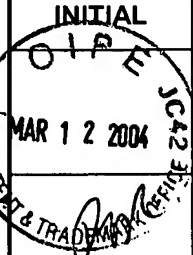
EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.
	B123 Lucent Technologies "AT&T Forms Expert Team to Design Utility Industry Solutions, press release, Monday, January 23, 1995, pp. 1&2.
	B124 Newsbytes Inc., Tampa, FLA, April 13, 1996 p. NEW04130013 "TECO & IBM – The "Smart House" Is Here" Press release, obtained at http://filebox.vt.edu/users/mikemike/smart-house/infotrac/article4.txt , 7/11/02, pp. 1&2
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	B126 Questa, Security overview, obtained http://www.questra.com/products/security.asp , printed June 10, 2003. 2 pages. Applicants believe this reference was published prior to January 9, 2003.
	B127 Questa Mastering Smart Security brochure, 2 pages Copyright 2002 Questa Corporation, obtained http://www.questra.com/collateral/collateral_files/SecurityOverview.pdf , printed June 10, 2003. Applicants believe this reference was published prior to January 9, 2003.
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	B135 Axeda Agents, 2 pages, obtained http://www.axeda.com/solutions/device_servers/index.html , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.

*** REFERENCES LISTED ON THIS IDS SEE B66 B67

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FORM PTO-1449	SERIAL NO. 10/024,896	CASE NO. 6270-72
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE December 21, 2001	GROUP ART UNIT 2125
(use several sheets if necessary)	APPLICANT(S): Andrew Blackett et al.	

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS	
	B136	<p>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)</p> <p>Axeda Case Studies, "Axeda Prevents Power Failures at a Leading Microprocessor Plant in Israel", 2 pages, obtained http://www.axeda.com/industries/casestudies_microprocessor.html, printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.</p>
	B137	<p>David Mueller and Sandy Smith, Electrotek Concepts, "Using Web-based Power Quality Monitoring for Problem Solving and Improving Customer Relations", proceedings of the 4th Annual Latin American Power 99 Conference, Jun. 29, 1999, pp. 263-271.</p>

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